



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CP  
9/14/00

Applicants: Dikran S. Babikian and Bryan Wade

Group Art Unit: 3744

# 9

Application No. 09/248,768

Examiner: Unknown

Filed: 2/12/99

Title: Systems and Methods for Controlling Local Environment

Attorney Docket No. SVG 771

Assistant Commissioner for Patents  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Certification under 37 CFR 1.97(e), or
  - ☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Certification under 37 CFR 1.97(e), and
  - ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) request be considered in examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Date of Deposit: September 5, 2000

Typed Name: Robert Moll

Signature: Robert Moll

Robert Moll  
Robert Moll  
Attorney for Applicant(s)  
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Group 3743

GP  
9/14/00

#9

I hereby certify that this paper is being sent by  
First Class Mail with sufficient postage to  
Assistant Commissioner for Patents  
Washington D.C. 20231 on September 5, 2000.

Robert Moll  
Robert Moll

**U.S. PATENT AND TRADEMARK OFFICE**

In re Application of: Dikran Babikian and  
Bryan Wade

Examiner: John Ford

Art Unit: 3743

Title: Systems and Methods for Controlling  
Local Environment

Attorney Docket No. SVG 771

Application No. 09/248,768

Filing date: February 12, 1999

**RESPONSE TO INFORMALITIES**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Examiner Ford:

In response to the Examiner's request for the incorporated non-patent references pursuant to the August 2, 2000 Office Action, Applicants' representative discloses those references, which are readily available. The two exceptions-- Mills & Irwin, Heat and Mass Transfer (1995) and Irvine & Liley, Steam and Gas Tables with Computer Equations, Academic Press, 1984-- will be requested promptly from the inventors and will be forwarded upon receipt. In the meantime, applicants' representative believes the references disclosed in the accompanying information disclosure statement should suffice for examination, but make no representation as to whether they are essential to support any of the claims.

Respectfully submitted,

Robert Moll  
Robert Moll  
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